

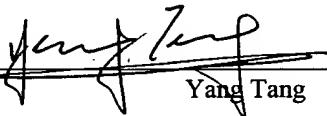
Rev. 03/09/2002

Attorney Docket No. 0800-0023

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By:

  
Yang Tang

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of COLOSI

Serial No.: 09/839,583

Examiner: unassigned

Confirmation No.: 1417

Art Unit: 1645

Filed: April 20, 2001

For: POLYNUCLEOTIDES FOR USE IN RECOMBINANT ADENO-ASSOCIATED VIRUS VIRION PRODUCTION

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Commissioner for Patents  
Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- In accordance with 37 C.F.R. §1.97(c), also enclosed is:
  - the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  - a statement as specified in 37 C.F.R. §1.97(e).
- In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- Check No. \_\_\_\_ in the amount of \$\_\_\_\_ for the total fee is attached.
- A return receipt postcard is also enclosed.

Attorney Docket No. 0800-0023  
Serial No. 09/839,583  
Page 2

[ ] Please charge \$\_\_ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

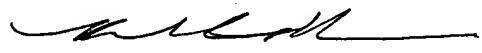
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: 2/13/03

Cooley Godward LLP  
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Tel: (650) 843-5000 Fax: (650) 857-0663

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Respectfully submitted,  
**COOLEY GODWARD LLP**

  
Roberta L. Robins  
Reg. No. 33,208



Rev. 03/09/2002

Attorney Docket No. 0800-0023

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Yang Tang

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Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- Publication(s) B1, B2, C1 listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to PCT application serial no. PCT/US01/40561 and mailed on November 19, 2002.

- Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- Enclosed is an explanation of non-English publication(s) \_\_\_\_ for which an English translation is not available.
- Enclosed is an English translation of non-English publication(s) \_\_\_\_ cited in the attached Form PTO/SB/08A.
- Enclosed is a copy of pending patent Application Serial No. \_\_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- before the mailing date of a first office action on the merits; or
- before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

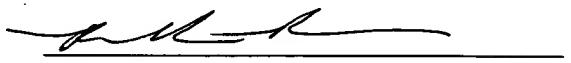
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: 2/13/03

Respectfully submitted,  
**COOLEY GODWARD LLP**

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Five Palo Alto Square  
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By:

  
Roberta L. Robins  
Reg. No. 33,208



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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Application Number</b>	09/839,583
				<b>Filing Date</b>	April 20, 2001
				<b>First Named Inventor</b>	COLOSI
				<b>Group Art Unit</b>	1645
				<b>Examiner Name</b>	unassigned
Sheet	1	of	2	Attorney Docket Number	0800-0023

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date . Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

**For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.**

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Applicant is to place a check mark here if English language Translation is attached.  
635184 v1/PA



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PTC (SB) 08A (08-00)

Substitute for form 1449B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/839,583
				Filing Date	April 20, 2001
				First Named Inventor	COLOSI
				Group Art Unit	1645
				Examiner Name	unassigned
Sheet	2	of	2	Attorney Docket Number	0800-0023

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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